

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10c997 U.S. PTO
09/963396
09/27/01

In re Patent Application of

SMITH et al.

Atty. Ref.: 3691-311

Serial No. To Be Assigned

Group:

Filed: September 27, 2001

Examiner:

For: EXTRUDED AUTOMOTIVE TRIM AND METHOD OF
MAKING SAME

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#JHW
1-201

* * * * *

September 27, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

- | | | | |
|-------------------------------------|----|---|----------|
| <input checked="" type="checkbox"/> | 1. | PTO-1449 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action] | N/C |
| <input type="checkbox"/> | 2. | Statement Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance] | N/C |
| <input type="checkbox"/> | 3. | Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance] | \$180.00 |
| <input type="checkbox"/> | 4. | Pursuant to 37 CFR 1.97(d)
[before Issue Fee payment] | \$180.00 |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- ☒ 5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent applications include U.S. applications Serial Nos. 09/416,888 and 09/210,852.

This paper is submitted in accordance with:

SMITH et al.
Serial No. To be Assigned

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
- ☐ b) The required Statement is stated in item 9 below.
- ☐ 9. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: 

Joseph A. Rhoads
Reg. No. 37,515

JAR:caj

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Document Number (Optional) <u>3691-311</u>		Application Number	
				Applicant <u>SIENKIEWICZ, ET. AL.</u>			
				Filing Date <u>9/27/01</u>		Group Art Unit	
U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4828637	5/1989	MENTZER, ET. AL.				
	4902557	2/1990	ROHRBACHER				
	4931324	6/1990	ELLISON, ET. AL.				
	4957802	9/1990	MENTZER, ET. AL.				
	5226998	7/1993	FEW				
	5300587	4/1994	MASCIA, ET. AL.				
	5306548	4/1994	ZABROCKI, ET. AL.				
	5316703	5/1994	SCHRENK				
	5409653	4/1995	MALM				
	5441816	8/1995	GROHMAN				
	5455289	10/1995	CASELLI				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <u>3691-311</u>		Application Number	
				Applicant <u>SIENKIEWICZ, ET. AL.</u>			
				Filing Date <u>9/27/01</u>			
U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5478516	12/1995	MALM, ET. AL.				
	5486327	1/1996	BEMIS, ET. AL.				
	5496630	3/1996	HAWRYLKO, ET. AL.				
	5532045	7/1996	WADE				
	5545448	8/1996	FORD, ET. AL.				
	5562931	10/1996	TAKEUCHI				
	5599608	2/1997	YAMAMOTO, ET. AL.				
	5707697	1/1998	SPAIN, ET. AL.				
	5725712	3/1998	SPAIN, ET. AL.				
	5750234	9/1998	JOHNSON, ET. AL.				
	5759477	6/1998	YAMAMOTO				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <u>3691-211</u>		Application Number	
				Applicant <u>SIENKIEWICZ, ET. AL.</u>		Group Art Unit	
				Filing Date <u>9/27/01</u>			
U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5772827	6/1998	MALM				
	5811053	7/1998	OTA, ET. AL.				
	5866054	7/1999	DORCHESTER, ET. AL.				
	5912283	6/1999	HASHIZUME, ET. AL.				
	5916643	6/1999	SPAIN, ET. AL.				
	5919537	7/1999	NIJZY				
	5037680	8/1991	PAPENDICK, ET. AL.				
	5939195	8/1999	ALLEN, ET. AL.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
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